A Method for Estimating the Cost of Software Using Principle Components Analysis and Data Mining

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**ABSTRACT**

Nowadays, data mining is one of the most significant issues. One field of data mining is a mixture of computer science and statistics which is considerably limited due to increase in digital data and growth of computational power of computers. One of the domains of data mining is the software cost estimation category. In this article, classifying techniques of learning algorithm of machine and COCOMO model as the most common estimation model of software costs are presented. Then, the analysis method of principal component approach is presented. This article presents a method to improve the performance of software cost estimation is suitable. Moreover, the basic data set is decreased and is turned into a new collection by using this method. Among the features, the best are extracted. The algorithms of several classifications are assessed by applying this method. Finally, the evidence for accuracy of our claims in terms of increase in estimation accuracy of software costs is presented.

1. INTRODUCTION

Today, software is considered as the most expensive element of any computer system [1]. One of the domains of data mining is the cost estimation of software [2]. The process of predicting the effort required to develop a software system is named Software cost estimation [3]. Much of the decision-making of managers at the start of a software project is involved in cost and time. The successful software project is a project to achieve certain predetermined purposes in terms of cost and time. Excessive costs for a software maker can be harmful. Cost estimation was the problem of systems analysts, project managers and software engineers for decades. Identifying the exact costs of software projects helps managers to accurately estimate the real price of a software [1].

Software projects must begin by analyzing the previous projects and those that are marketed as products. Calculation of software cost is usually tricky. Software projects were not so understandable earlier and always themes and ideas that were in customers’ minds and the minds of managers indeed differed. With the gradual growth in the size and importance of applicable programs, costs of creating software began to grow and hence the excessive increase of costs for software planners were disastrous. In the previous years, various methods were presented for estimating the software project cost [1], which were called algorithmic or non-algorithmic methods.

In the following, you can see that why accurate cost estimation is important [4]:

- It is useful for classifying and prioritizing development projects compared to the complete business plan.
- It is useful for finding out what resources to commit to a project and how well these resources are used.
• It is useful for assessing the impact of changes and how to support for preplanning. Managing and control the projects will be easier when resources are better matched to real needs [4].
• Customers expect to find a close agreement between the development costs and estimated costs [4].

Software cost estimation has been a major difficulty in software development. There exist several reasons that affect the cost estimation process as follows [4]:
• Cost estimate of software development is difficult because the first steps are understanding and defining the system that the cost is to be estimated.
• A cost estimate done early in the project life cycle is generally based on less precise inputs and less detailed design specifications.
• Software development involves many correlated factors, which affect not well-known development effort and productivity.
• Historical database of cost measurements are incomplete, inaccurate or inconsistent.
• Lack of trained estimators.
• It is so difficult to understand and estimate untouched or unseen product or process like software which is intangible, invisible, and intractable.

To do so, it is necessary to model data to observe the number of attempts in output by putting a related data in new projects. Therefore, the thing that helps create suitable model is using basic data set. One data set that has been considered by researchers and shows the output of different models is the data set related to NASA 93 with 93 records and 24 features. This data set is released as a result of free program of space station at 6 centers in NASA which include jet launch [5]-[6]. COCOMO data set 81 includes 63 records and 19 features. The NASA data set 93 has COCOMO data set format.

The reason why we selected these data sets are their availability. Therefore they are suitable sources to compare with other models. We also applied the principal component analysis (PCA) method which is one method to extract features. We will introduce the best collecting algorithm to improve software cost estimation by using PCA to decrease the input data and also to use different algorithms in classification of data mining.

This paper is formed as follows. In Section 2, related literature is discussed. Section 3 focuses on software cost estimation and related works. In Section 4, the suggested approach is presented and discussed. Section 5 focuses on experiments and results which include implementation tools, data collection, evaluation criteria, results and its analysis. Finally, Section 6 concludes this work and presents the future works.

2. RELATED LITERATURE

Estimating software development is of vital importance. Under-estimation causes schedule and budget overruns and the project to be cancelled. Over-estimation causes funding to the other promising ideas and organizational competitiveness to be shifted in time [7]. The concept of software cost estimation began in 1960s and many cost estimation models have been proposed by various researchers since then [8]. It means that there is a long history of researchers exploring software effort estimation.

Some of these researchers are Wolverton (1974), Black and et al. (1977), Herd and et al. (1977), Walston and Felix (1977), Freiman and Park (1979), Boehm (1981), Jensen (1983), Park (1988), Shepperd and Schofield (1997), Walkerden and Jeffery (1999), Burgess and Lefley (2001), Menzies and et al. (2006), Jorgensen and Shepperd (2007). In 2007, Jorgensen and Shepperd reported on hundreds of research papers dating back to the 1970s devoted to the topic, over half of which proposed some innovation for developing new estimation models [7]. In the 1970s and 1980s, it was focused on parametric estimation as done by Putnam and others. Boehm's constructive cost model (COCOMO) [7] is an example. COCOMO is a parametric method; i.e., it is a model-based method that first assumes that the target model has a particular structure.

Then, it uses model-based methods to fill in the details of a particular structure (e.g., to set some tuning parameters) [7]. Since that work on parametric estimation, researchers have innovated other methods based on regression trees (Shepperd and Schofield (1997)), case-based-reasoning (Shepperd and Schofield (1997)), spectral clustering (Menzies and et al. (2013)), genetic algorithms (Freiman and Park (1979), Cordero and et al. (1997)), etc. These methods can be augmented with “meta-level” techniques like tabu search (Corazza and et al. (2010)), feature selection (Zihao chen and et al. (2005)), instance selection (Kocaguneli and et al. (2012)), feature synthesis (Menzies and Shepperd (2012)), active learning (Kocaguneli and et al. (2013)), transfer learning (Kocaguneli and et al. (2014)). Temporal learning (Lokan and Mendes (2009), Miller (2002)), and so on [7].

3. SOFTWARE COST ESTIMATION

Software cost estimation plays a vital role in software engineering as the success or failure of project entirely depends on it. Cost estimation’s deliverables like staff requirements, schedule and effort are important chunk of information for formation and execution of a project. They provide inputs for project request and proposal, project planning, control, budget, progress monitoring & staff
allocation. Illogical and uncertain estimates are the root causes of project failure. So, the capability of any system is to find out correct time and cost of software which is very crucial for the progress of that system. The software engineering community puts enormous effort for building models in order to comfort estimators to provide accurate cost estimates for software projects [9].

A. Software Cost Estimation Models

Cost estimation techniques are mainly of two kinds: algorithmic and non-algorithmic [10]-[11]-[12]. The two kinds are introduced in details.

A.1. Non-Algorithm Models

This model first compares the project under consideration with the previously done projects by the organization and analyses the information from the most similar projects to make cost estimates. Basically, this model makes use of past experiences [8]. Models explained in details are as follows:

- **Top-Down:** The top down estimation method also known as macro model, considers effort as a function of size of the project.

\[
Effort = a \cdot b \tag{1}
\]

where a is a constant and b is the size of the project. At first, an overall cost is estimated, the project is then partitioned into various levels and the cost estimation of each level of is derived from the global properties of the software project. The total cost estimation of the project makes it very easy to estimate costs at the start, however, one needs to revise the initial estimates as the project progresses, which leads to delays if the revisions lead to varying results from the earlier estimates. Due to the fact that very little detailed information is available at the start, this method is highly regarded in early cost estimation [8].

- **Bottom-Up:** This is the exact opposite of the top-down approach. In this method, we first estimate the cost for each and every small components of the project, which is then combined to form the cost of the overall project. It aims to consolidate the small information available and how they interact in order to arrive at the overall cost. COCOMO method uses this approach for cost estimation. Although bottom-up is a much consolidated technique, but it cannot be applied to projects where much detail is not known at the start of the project. Trying to apply bottom-up in these situations can lead to bad estimations [8].

- **Analogy Model:** Supposing the project development information is known, cost can be estimated by comparing the proposed project to previously completed similar project. In this model, cost of the new project can be estimated by extrapolation of the actual data from the completed projects. Analogy method can be used for both system and component levels. Briefly, the main steps are as follows [4]:
  - Find out the main features of the proposed project.
  - Choose the most similar completed projects that we have their features in a historical data base.
  - Find the estimate for the proposed project from the most similar completed project.

A.2. Algorithmic Models

Algorithm models are based on one or more mathematical formulas that are typically obtained through statistical analysis. These mathematical equations are based on previous research and data and use inputs such as source code lines, a number of functions for execution, and other cost factors. Each algorithmic model is represented by Eq. (1): Effort is an action to estimate the cost, usually measured by person-month. Yi factors of cost and F is a form of the function [8], [13].

\[
Effort = F(Y1, Y2, Y3, ..., Yn) \tag{2}
\]

- **COCOMO Model (Constructive Cost Model):** The first version of COCOMO, namely COCOMO 81, as a model for estimating the effort, cost, and schedule, was first introduced by Boehm in 1981. In 1997, he enhanced his first one and introduced COCOMO II. This model provides more support for modern4 ISRN Software Engineering software development processes. In both COCOMO models, LOC is used as a software code size and given in thousands to measure the effort which is measured in person-month. The basic COCOMO pattern is shown in (3). In this case, EF is the number of people - month or hours required, C is the constant value of an estimated value, LOC is the number of program lines, and K is a constant which estimated to be 1.05.

\[
EF = C \cdot (LOC) \cdot K \tag{3}
\]

Variants of COCOMO models include: 1) Basic COCOMO 2) Intermediate COCOMO 3) Detailed COCOMO [8]-[9]-[13].

4. Suggested Approach

Principal components analysis is a commonly used dimension reduction and data analysis technique for computer vision, data mining, biomedical informatics, and so on [14]. For years, the principal components analysis method has been considered. For example by, Pearson (1901) or Hotelling (1933); for modern reviews, Abdi & Williams (2010) or Jolliffe (2014); for uses of PCA in astronomy see e.g., Yip et al. (2004); Suzuki (2006); Conselice (2006); Budav’ari et al.
Another definition of the above method is in [16]-[17]-[18], which is as follows: One of the popular multivariate data analysis techniques is PCA. It was employed primarily for visualization and dimension reduction.

In (4) below, X is independent variable, Y is the dependent variable, i shows the number of members (or samples), \( \bar{X} \) is the average of dependent variable X, \( \bar{Y} \) is the average of independent variable Y, \( \sum \) shows the collection of two parentheses and N-1 is the number of samples minus 1. (N-1 instead of N for calculating the variance of samples.)

\[
\text{COV}(X,Y) = \frac{\sum_{i=1}^{n}(X_i - \bar{X})(Y_i - \bar{Y})}{n-1}
\]  

(4)

The following figure shows the general processes. To do and create COCOMO data set 81 to a new data set, two software of MATLAB and rapid miner were applied. The MATLAB software resulted from software rapid miner which was changed by omitting some features due to being numerical and unsupervised of PCA, was used. In MATLAB software, this data set was changed by decreasing the dimensions and the related formulae (covariance) which resulted to a new data set. Covariance is an index to change one variable to another.

In this part, our purpose to increase accuracy of software cost estimations by using the decrease of input dimensions and by principal component analysis, is introduced.

According to this formula, the resulted amount if: 1) is positive, means that X or Y increase or decrease together. 2) is negative, suggests that Y decreases by increasing X or vice versa. 3) is 0, means that X and Y are independent [19]-[20]. So, new data set in rapid miner software were used to create and evaluate models by using the explained algorithms. In rapid miner, the processes are done like what is shown in the Figure 1 [21].

To estimate the software cost and to create evaluate models, several criteria are considered and finally, the best accuracy of this method was determined using the classification technique as outlined in the next section.

5. EXPERIMENTS AND RESULTS

In this part, our tests done on 2 data sets by using learning algorithm of machines and suggested methods in rapid miner software and the results are presented. In this article, classification techniques of data mining were used which will be explained later.
A. Implementation Tools

We used rapid miner in this article. Rapid miner is based on Boston, Massachusetts, U.S. [21]. Rapid miner builds a software platform for data science teams that unites data prep, machine learning, and predictive model deployment. Organizations can build machine learning models and put them into production faster than ever. This is done by using rapid miner's lightning fast visual workflow designers and automated modeling capabilities. The complexities of cutting edge data science is eliminated in rapid miner by making it easy to use in the latest machine learning algorithms and technologies like tensor flow, hadoop, and spark [22]. Rapid miner is based in Boston, Massachusetts, U.S. Its platform includes rapid miner studio, rapid miner server and rapid miner radoop. Rapid miner studio is a model development tool, available as both free and commercial editions; it is priced according to the number of logical processors and the amount of data used by a model [21]. Rapid miner provides learning schemes, models and algorithms. It can be extended using R and Python scripts [23]. In this article, the classification techniques of data mining used, are explained later.

A.1. Classification Technique

Classification is a data mining technique used to predict group membership for data instances within a given dataset and classifying them into different classes by considering some constrains. The problem of data classification aims at learning the relationship between a set of feature variables and the desired target one.

It is an example of supervised learning as training data associated with class labels [24]. Different classification techniques used in this work are as follow:

- **Decision tree**: This type of classification provides a rapid and useful solution in the case of large datasets and a large number of variables. Two things should be considered carefully, (a) the growth of the tree to enable it to accurately categorize the training dataset, and (b) the pruning stage. The second one removes the superfluous nodes and branches in order to improve the accuracy [25].

- **K- Nearest neighborhood (K-NN)**: In K-nearest neighbor (KNN) technique, the K nearest neighbors is measured. In order to describe class of a sample data point, K shows how many nearest neighbors needed to be examined. KNN technique is divided into two categories i.e., structure-based and structureless.
  - The structure-based KNN deals with the basic structure of the data where the structure has less mechanism associated with training data samples. In the contrast, for the structureless KNN technique, entire data is categorized into sample data point and training data.

  Herein, the distance calculated between sample points and all training points and the point with smallest distance is known as the nearest neighbor [26]-[32].

  - **Naive Bayes**: Naive Bayes are simple probabilistic classifiers based on the Bayes theorem. These are highly scalable classifiers which involve a family of algorithms based on a common principle assuming that the value of a particular feature is independent of the value of any other feature, given the class variable. Despite the independency is an unrealistic assumption, but Naive Bayes classifiers still tend to perform very well [24].

  To do so, COCOMO data set 81 in rapid miner software was used and 3 features were omitted due to being numerical and also being a unsupervised PCA method.

  Supervision of decreasing dimension in MATLAB software and related formulae were used and COCOMO data set 81 turned into a new data set. Therefore, as it was said before, new data sets were used to make and create models. In rapid miner software, the preprocess of data was done after choosing a new data. This phase includes choosing data sources, omitting diverted points, and how to treat the omitted data, and turning, extracting or decreasing.

  To decrease dimensions and extract the best features, the omitted purpose was added to the new data set in order to get the output from the new collection. To extract the purpose which is a real attempt, the related doer id is used and we also consider positive for high expenses and negative for low expenses.

  In order to create a model which aims to extract samples or hidden models, Gain-Ratio criteria and Euclidean distance are applied.

B. Data Collection

As it was said, we used 2 data sets of NASA 93 and COCOMO 81. Data set NASA 93 has the format of COCOMO 81 and includes 93 records and 24 features. COCOMO data set 81 includes 19 features and 93 records.

Also, in the two data sets, 70% of data are used for teaching and 30% of data are used to test in rapid miner software. Features and amounts in both data set are shown in Tables 1 and 2.
C. Evaluation Criteria

From the literature, the evaluation metric is categorized to threshold, probability and ranking ones. These metrics evaluate the performance of a classifier with different aims. Moreover, all of these metrics are scalar group method where the total performance is presented by using a single score value.

Thus, it makes easier to do the comparison and analysis, although it could mask subtle details of their behaviors.

The threshold and ranking metrics are popular metrics used to measure the performance of classifiers into three different applications [27].

In the first case, it is used to evaluate the generalization ability of the trained classifier, in which measure and summarize the quality of trained classifier when tested with an unseen data. Herein, accuracy or error rate is used to evaluate the generalization ability of classifiers. Through accuracy, the trained classifier is measured based on total correctness which refers to the total of instances that are correctly predicted by the trained classifier when tested with an unseen data. In the second case, it is used as an evaluator for model selection, in which determine the best trained classifier that focuses on the best future performance (optimal model) when tested with an unseen data. In the third one, it is used to discriminate and select the optimal solution (best solution) among all generated solutions during the classification training. For example, the accuracy metric is employed to discriminate every single solution and select the best solution that is produced by a particular classification algorithm. Only the best solution which is believed to be the optimal model will be tested with an unseen data [27]. Different features are as follows:

C.1. Accuracy Criterion

The accuracy criterion is a measure for the ratio of correct predictions per the total number of instances [27]. The accuracy of classification is calculated according to the following function.

\[ \text{Accuracy} = \frac{TP + TN}{TP + FP + TN + FN} \]  

(5)

where TP and TN are respectively the number of correctly classified positive and negative instances. In contrast, FP and FN are respectively the number of misclassified negative and positive instances [27].

C.2. Recall Criterion

Recall is the measure for evaluating the fraction of correctly classified positive patterns [27]. The following function shows how to calculate this criteria [28]-[29]-[30]-[31].

\[ \text{Recall} = \frac{TP}{TP + TN} \]  

(6)

C.3. Precision criterion

Precision is the measure for evaluating the fraction of the correctly predicted positive patterns from the total predicted positive class patterns [27]. This criteria is calculated by the following function [28]-[29]-[30]-[31].

![Tables](image)
\[ Precision = \frac{TP}{TP+FP} \]  

**D. Results**

In this part, we present the results of 2 data sets by using learning algorithm of machine and the suggested method of PCA and then compare these results. The results are shown in Tables and Charts below:

The results related to the three algorithms by using PCA method, are shown below.

\[ \text{Recall} = \frac{TP}{TP+FN} \]  

**Table 3**  
**The Results of Assessment by Applying 3 Algorithms**

<table>
<thead>
<tr>
<th>Metric</th>
<th>Decision tree</th>
<th>Naïve Bayes</th>
<th>K-NN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accuracy</td>
<td>60.71%</td>
<td>46.43%</td>
<td>53.57%</td>
</tr>
<tr>
<td>Precision</td>
<td>50.78%</td>
<td>47.16%</td>
<td>53.11%</td>
</tr>
<tr>
<td>Recall</td>
<td>50.64%</td>
<td>61.28%</td>
<td>52.82%</td>
</tr>
</tbody>
</table>

**Table 4**  
**The Results of Evaluation Using PCA**

<table>
<thead>
<tr>
<th>Metric</th>
<th>Decision tree</th>
<th>Naïve Bayes</th>
<th>K-NN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accuracy</td>
<td>78.95%</td>
<td>84.21%</td>
<td>94.74%</td>
</tr>
<tr>
<td>Precision</td>
<td>68.33%</td>
<td>77.08%</td>
<td>96.88%</td>
</tr>
<tr>
<td>Recall</td>
<td>68.33%</td>
<td>71.67%</td>
<td>87.50%</td>
</tr>
</tbody>
</table>

Chart 1: The results of assessment of the 3 algorithms.

The results show that tree algorithm in COCOMO NASA 93 with the accuracy of 60.71% is the best method.

But, since we used PCA in COCOMO data set 81, the authenticity algorithm with the accuracy of 94.74% was the best method.

**E. Analysis of the results**

As it was explained before, we analyzed our results in a way that the pre-process of data was used. To predict, classification techniques were used, but in our case, we used dimension decrease method.

In fact, we decreased the dimension by using the PCA method and turned it into a new data set.
Then, we created predicted models by using learning algorithms of machines. The new data treatments were predicted and then validated by the models, but, we used data set COCOMO 81 due to its being numerical and being unsupervised version of the PCA method. Therefore, a search was done by using learning algorithms of machines and some samples were explored to predict new positions. We used different algorithms and the prediction was done based on the purpose features.

The prediction in these 3 algorithms are according to the purpose features (real effort): Naïve Bayes algorithm: the probability of software cost by increasing expenses (positive) in group 10 was 0.794 and probability of software cost estimation by decreasing expenses (negative) in group 10 was 0.206 and their authenticities were evaluated by the an accuracy of 84.21%.

The decision tree algorithm: based on decision tree model, the chance that these features decrease expenses are more, or which feature was F1, which showed the least cost (negative). The algorithm had branches in which positive and negative were put. The model shows that less expenses exist in branches (by using the existing features).

Therefore accuracy of predicted model was 78.95%. The K-Nearest Neighbor: the created model in the neighborhood (K = 1) were on all the samples with 10 dimensions in 2 groups of positive and negative and there accuracies were predicted to be 94.74%.

In this article, we presented the best method to increase accuracy in software cost estimation by using principal component analysis and learning algorithm of machine and decreasing costs.

6. CONCLUSION AND FUTURE WORKS

In this article, classification technique was used to estimate software cost. Therefore, principal components analysis method to decrease input data dimensions and classification algorithms to model and evaluate them on COCOMO data set 81 were used to increase accuracy.

The results of COCOMO 81 was compared with the results of NASA 93.

The results proved that the suggested method could have significant influence on models of decision tree, naïve Bayes and nearest neighborhood by decreasing dimension of input data and turning it into data.

In this article, the most amount of accuracy is related to the most adjacent neighborhood algorithm with the accuracy of 94.74%.

In future, it is suggested to apply a different learning algorithm of machines and a different software work and also to use different methods such as wrapper in order to improve software cost estimations.
A Method for Estimating the Cost of Software Using Principle Components Analysis and Data Mining

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